

1 Ferry Cover with Connectivity Constraints

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12 — Abstract —

13 The classical Ferry Cover problem asks for the minimum boat capacity needed to transport all vertices
14 of a graph across a river such that no edge remains on either bank at any time—a requirement that
15 the banks induce stable (independent) sets. We study a natural generalization in which the banks
16 must satisfy an arbitrary graph property. For hereditary properties such as acyclicity or planarity,
17 we show that the structural characterization of small-boat and large-boat graphs established by
18 Csorba, Hurkens, and Woeginger extends directly.

19 We then turn to the connected-bank variant, where the property of interest—connectedness—is
20 not hereditary: both banks must induce connected subgraphs throughout the transfer. We provide a
21 complete characterization of graphs that can be transferred with a boat of size one (boat-1 graphs):
22 a connected graph is boat-1 if and only if its block-cut tree is a path. This characterization yields
23 a linear-time recognition algorithm. As a consequence, we show that every biconnected graph
24 is boat-1, since such graphs admit an st-numbering. We also develop an efficient algorithm for
25 determining the boat number of trees. Our work opens new directions for river-crossing problems
26 under non-hereditary bank constraints.

27 **2012 ACM Subject Classification** Theory of computation → Graph algorithms analysis; Mathematics
28 of computing → Graph theory

29 **Keywords and phrases** ferry cover, river crossing, block-cut tree, st-numbering, hereditary graph
30 property, connectivity

31 **Digital Object Identifier** 10.4230/LIPIcs.FUN.2026.37

32 **Acknowledgements** The authors thank Arjun Arul for very helpful discussions towards arguing that
33 there exist optimal schedules that do not ferry people back. We also acknowledge the use of Claude
34 in the generation of TikZ code and editorial inputs on the writeup, and Gemini Nano Banana for
35 generating the image in the introduction.



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13th International Conference on Fun with Algorithms (FUN 2026).

Editor: John Iacono; Article No. 37; pp. 37:1–37:19



Leibniz International Proceedings in Informatics

LIPIC Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany



■ **Figure 1** In Alcuin’s classical river crossing puzzle, a farmer must transport a wolf, a goat, and a cabbage across a river using a boat that can carry only one item at a time, ensuring that incompatible pairs (wolf–goat, goat–cabbage) are never left alone together.

1 Introduction

The classical *Alcuin’s River Crossing Problem*, in which a man must transport a wolf, a goat, and a bundle of cabbages across a river without leaving incompatible pairs together, is among the earliest known combinatorial puzzles. The incompatible pairs are (wolf, goat) and (goat, cabbages), as the wolf would eat the goat and the goat would eat the cabbages if left together on any bank without human supervision. Formally, the puzzle can be modeled by a graph on three vertices (wolf, goat, cabbages), where the edges represent mutual incompatibilities. The goal is to move all vertices from one bank to the other using a boat of limited capacity, ensuring that two adjacent vertices are not left together on the same bank at any point.

This problem was first generalized by Lampis and Mitsou [3], who asked for the smallest boat capacity b (excluding the sailor’s seat) for a given graph $G = (V, E)$ that allows all vertices of G to be transferred from one bank to the other without ever leaving an edge of G on a bank. They called it the *Ferry Cover (FC)* problem and established the following tight relation between the ferry cover number b and the vertex cover number $\text{OPT}_{\text{VC}}(G)$:

$$\text{OPT}_{\text{VC}}(G) \leq b \leq \text{OPT}_{\text{VC}}(G) + 1.$$

A graph is called *small-boat* if the boat capacity required is exactly equal to its vertex cover number; otherwise, it is called *large-boat*. They proved that computing $\text{OPT}_{\text{FC}}(G)$ is NP-hard and APX-hard in general.

Following this foundational work, Csorba, Hurkens, and Woeginger [1] provided a structural characterization of small-boat graphs. Seify and Shahmohamad [5] extended these results by identifying additional graph classes where the Alcuin number coincides with the vertex cover number. Ito, Langerman, and Yoshida [2] proposed generalized river-crossing models that unify various well-known puzzles in this area and studied their algorithmic complexity. More recently, Shan and Kang [6] analyzed the Ferry Cover problem on regular and small-degree graphs.

Collectively, these works offer a strong characterization of small-boat and large-boat graphs for Ferry Cover problems where the required graph property on each bank is *stability* or *independence*. This naturally raises the question of how the problem behaves under alternative constraints on the banks, for instance, when the induced subgraphs on each bank must satisfy connectedness, acyclicity, or planarity. To motivate the need for considering other graph properties, let us introduce a new problem from a more intuitive perspective.

67 Imagine a community living on one bank of a river that wishes to cross to the other side.
68 Not everyone in the community knows each other directly, yet the community as a whole
69 remains cohesive—there is always a chain of people linking any two individuals. However, if
70 two groups of people have no such link between them, they may start seeing each other as
71 strangers or even as threats to the community, which can lead to conflict.

72 The community wants to build the smallest possible boat, since each extra seat adds a
73 lot to the cost, such that the sailor can ferry everyone across the river while making sure
74 that at every step of the process, the people left on each bank still form a socially connected
75 group. If at any point the people on one bank become split into mutually disconnected
76 subgroups, the peace of the community may be disturbed. This story motivates studying a
77 new variant of the classical Ferry Cover problem, where the requirement on each bank is
78 not stability but *connectedness*. In graph-theoretic terms, we may view each individual as a
79 vertex, and an edge connects two vertices if the corresponding individuals know each other
80 directly. The goal is to determine the smallest boat capacity that allows all vertices of a
81 connected graph G to be transferred from one bank to the other, such that at every step,
82 the subgraphs induced by the vertices on both banks remain connected. We refer to this
83 model as the *connected-bank variant* of the Ferry Cover problem.

84 In this paper, we first focus on hereditary graph properties such as acyclicity and planarity.
85 These are properties that, if satisfied by a graph, are also satisfied by all of its subgraphs.
86 We prove that the structural theorem given by Csorba et al. [1] also holds when the required
87 property on each bank is a hereditary property.

88 This naturally leads to the question of what happens when the property required on
89 the banks is not hereditary. The story above already illustrates one such case, where the
90 property of interest is connectedness. Here, we assume G is connected, and we say that G is
91 *boat- n* if n is the minimum boat size sufficient to transfer all vertices of G across the river
92 while preserving connectivity on both banks at all times.

93 Our main result provides a complete characterization of boat-1 graphs: a connected graph
94 G is boat-1 if and only if its block-cut tree forms a path. This structural insight yields not only
95 an exact combinatorial description but also algorithmic consequences, enabling linear-time
96 recognition of boat-1 graphs. We also develop an efficient algorithm for determining the boat
97 number of trees. We believe this work extends the foundational landscape of Ferry Cover and
98 opens up new directions for variants in which the bank property is neither hereditary nor
99 trivial.

100 Related Work

101 The Ferry Cover problem originates from the classical river crossing puzzle attributed to Alcuin
102 of York (circa 8th century), which can be modeled as transferring vertices of a conflict graph
103 where edges represent incompatible pairs that cannot be left together unsupervised. Lampis
104 and Mitsou [3] formalized this as the Ferry Cover problem, establishing the fundamental
105 bound $\tau(G) \leq c(G) \leq \tau(G) + 1$ relating the Alcuin number $c(G)$ to the vertex cover number
106 $\tau(G)$, and proving NP-hardness and APX-hardness. This dichotomy partitions graphs into
107 *class 1* (small-boat, where $c(G) = \tau(G)$) and *class 2* (large-boat, where $c(G) = \tau(G) + 1$).

108 Csorba, Hurkens, and Woeginger [1] provided the key structural characterization that
109 precisely distinguishes these classes via a partition condition on stable sets. A necessary
110 condition for class 2 is that G have a unique minimum vertex cover; graphs with multiple
111 minimum covers can swap between them during transfer, avoiding the extra seat. Seify and
112 Shahmohamad [5] gave a classification theorem: G is class 2 if and only if for every pair of
113 independent sets $I, J \subseteq V$, the union $I \cup J$ does not cover all vertices. They also identified

114 additional small-boat graph families. Lampis and Mitsou [3] provided a polynomial-time
 115 algorithm for trees, exploiting the tree structure via dynamic programming to determine the
 116 Alcuin number. They showed that stars $K_{1,m}$ are class 2 for $m \geq 3$ but class 1 for $m \leq 2$,
 117 and that graphs of maximum degree at most 2 (paths and cycles) are always class 1.

118 Shan and Kang [6] provided a comprehensive classification for regular graphs and graphs
 119 with maximum degree at most 5, confirming that all regular bipartite graphs are class 1 (by
 120 symmetry of covers) while certain regular non-bipartite graphs can be class 2. Ito, Langerman,
 121 and Yoshida [2] proposed a unifying framework for river-crossing puzzles, studying round-trip
 122 constrained variants where the number of crossings is limited, and proved these variants are
 123 also NP-hard.

124 Importantly, the Csorba–Hurkens–Woeginger structural theorem is remarkably versatile:
 125 as we show in this paper, it extends to any hereditary property P by replacing the vertex
 126 cover with a minimum P -deletion set. However, our main focus departs from all prior work
 127 by considering a non-hereditary constraint—connectedness—which introduces fundamentally
 128 different structural challenges. Unlike the classical setting where the boat number lies in
 129 $\{\tau(G), \tau(G) + 1\}$, the “boat number” for connectivity is not bounded by any simple deletion-set
 130 measure, and the scheduling constraints become significantly more intricate.

131 **2 Preliminaries**

132 ► **Definition 1** (Induced Subgraph). *Let $G = (V, E)$ be a graph and let $I \subseteq V$. The induced*
 133 *subgraph of G on I is a graph with vertex set I and edge set consisting of all edges of G with*
 134 *both endpoints in I . It is denoted by G_I , or $G[I]$.*

135 Throughout the paper, we do not distinguish between a vertex set $I \subseteq V(G)$ and the subgraph
 136 of G induced by I , and use I to denote both when the meaning is clear from the context.

137 ► **Definition 2** (Hereditary Property). *A graph property P is hereditary if every induced*
 138 *subgraph of a graph satisfying P satisfies P .*

139 For example, the property of not containing cycle is a hereditary property because if a
 140 graph G does not contain any cycle, then any induced subgraph of G also does not contain
 141 any cycle. The property of having maximum degree not more than a constant is also a
 142 hereditary property. Whereas the property of having a path of length larger than a constant
 143 is not a hereditary property. The property connectedness is also not hereditary as subgraph
 144 of a connected graph might not be connected.

145 We denote the set of hereditary properties by \mathcal{P}_H .

146 ► **Definition 3** (biconnected). *A graph is biconnected if removal of any vertex does not*
 147 *disconnect the graph or equivalently, there are two vertex disjoint paths between any two*
 148 *vertices.*

149 ► **Definition 4** (Block). *Blocks are the maximal biconnected components of a graph.*

150 ► **Definition 5** (cut-vertex). *A vertex v of a graph G is called a cut-vertex if removal of v*
 151 *increases the number of connected components of G .*

152 ▶ **Observation 6.** *Two blocks of a graph cannot have more than 1 vertex in common.*

153 ▶ **Definition 7 (Small-Boat).** *A graph G is called small-boat if the boat size required to*
 154 *transfer all vertices of G across the river is equal to the size of the minimum vertex cover.*

155 A graph, which is not small-boat, is called *large-boat*. Note that we do not need a boat of
 156 size greater than $1 +$ the size of the minimum vertex cover, because we can keep the minimum
 157 vertex cover on the boat and transfer the rest of the vertices one by one.

158 The next theorem characterizes the small and large boat graphs.

159 ▶ **Theorem 8 ([1], Theorem 3.1).** *A graph $G = (V, E)$ can be transferred across the river with*
 160 *boat of size $b \geq 1$ if and only if there exists five subsets X_1, X_2, X_3, Y_1 and Y_2 of V such that:*

- 161 1. X_1, X_2 and X_3 is a partition of a stable set X in G ,
- 162 2. Y_1 and Y_2 are non-empty subsets of the set $Y := V - X$, which satisfies $|Y| \leq b$,
- 163 3. $X_1 \cup Y_1$ and $X_2 \cup Y_2$ are stable sets in G , and
- 164 4. $|Y_1| + |Y_2| \geq |X_3|$.

165 The original Alcuin's problem requires the banks to be stable sets but this property can
 166 be changed as per the requirement. For example, we might need the graphs on the banks
 167 to be connected or to be cycle free, etc. This gives the Alcuin's problem a completely new
 168 direction. We call this new set of Alcuin's problem as Generalized Alcuin problems. Thanks
 169 to [1], the structural theorem (Theorem 8) is so versatile that we can use the same theorem
 170 for many problems in Generalized Alcuin problems set. More precisely, whenever the required
 171 property P on the banks is hereditary, we can use the same theorem with Y being the subset
 172 to be removed so that $V \setminus Y$ satisfies P . We prove this in the next section.

173 Before moving to the proof of structural theorem for any hereditary property, we review
 174 a few notations of [1]. A *schedule* is a finite sequence of triplets (L_k, B_k, R_k) , where L_k, B_k
 175 and R_k are the vertices on the left bank, boat and the right bank, respectively, during k^{th}
 176 transfer.

177 ▶ **Definition 9 (Feasible Schedule with respect to P).** *Given a graph $G = (V, E)$, boat size b*
 178 *and a hereditary graph property P , a feasible schedule is a schedule $(L_k, B_k, R_k)_{1 \leq k \leq t}$ such*
 179 *that*

- 180 1. For any k , L_k, B_k and R_k is a partition of V such that both L_k and R_k satisfy P and
 181 $|B_k| \leq b$;
- 182 2. During the first transfer, we do not have anything on the right bank and in the last
 183 transfer we do not have anything on the left bank, therefore $L_1 \cup B_1 = V = B_t \cup R_t$ and
 184 $R_1 = \emptyset = L_t$;
- 185 3. Odd numbered transfer denotes transfer from left to right bank and even numbered transfer
 186 denotes transfer from right to left bank, therefore for even $k \geq 2$, $L_{k-1} = L_k$ and
 187 $B_{k-1} \cup R_{k-1} = B_k \cup R_k$, and for odd $k \geq 1$, $R_{k-1} = R_k$ and $B_{k-1} \cup L_{k-1} = B_k \cup L_k$;

188 ▶ **Example 10 (Transferring a 4-cycle with boat size 2).** Consider the cycle C_4 on vertices
 189 $\{1, 2, 3, 4\}$ with edges $\{1, 2\}, \{2, 3\}, \{3, 4\}, \{4, 1\}$. The two diagonal pairs $\{1, 3\}$ and $\{2, 4\}$ are
 190 independent sets. With a boat of capacity $b = 2$, we can transfer all vertices while keeping
 191 both banks stable (independent) at all times.

192 **3** Hereditary Properties

193 In this section, we show that the structural characterization of Csorba, Hurkens, and
 194 Woeginger [1] extends naturally to any hereditary graph property. We begin by establishing
 195 bounds on the boat size in terms of a minimum deletion set.

196 ► **Observation 11.** *Let b_P denote the minimum boat size required to transfer $G = (V, E)$
 197 when the required property on the banks is a hereditary property P . Let Y be a smallest subset
 198 of V such that the induced subgraph $G[V \setminus Y]$ satisfies P . Then*

$$199 \quad |Y| \leq b_P \leq |Y| + 1.$$

200 **Proof.** For the lower bound, observe that during the first trip, the sailor must take at least
 201 $|Y|$ vertices so that the induced subgraph on the remaining vertices satisfies P . Thus $b_P \geq |Y|$.

202 For the upper bound, we exhibit a feasible schedule using a boat of size $|Y| + 1$. The
 203 sailor keeps Y on the boat permanently and transfers the remaining vertices one at a time
 204 using the one free seat. At any point during this process, both banks contain subsets of
 205 $V \setminus Y$. Since $G[V \setminus Y]$ satisfies P and P is hereditary, every induced subgraph of $G[V \setminus Y]$ also
 206 satisfies P . Hence $b_P \leq |Y| + 1$. ◀

207 ► **Definition 12** (Small-Boat and Large-Boat with respect to P). *Let P be a hereditary property
 208 and let $G = (V, E)$ be a graph. Let Y be a smallest subset of V such that $G[V \setminus Y]$ satisfies P .
 209 We say G is small-boat with respect to P if all vertices can be transferred with a boat of size
 210 $|Y|$; otherwise, G is large-boat with respect to P .*

211 The following theorem generalizes Theorem 8 to arbitrary hereditary properties. The
 212 argument is very similar to the proof for the original setting, but we include it here for
 213 completeness.

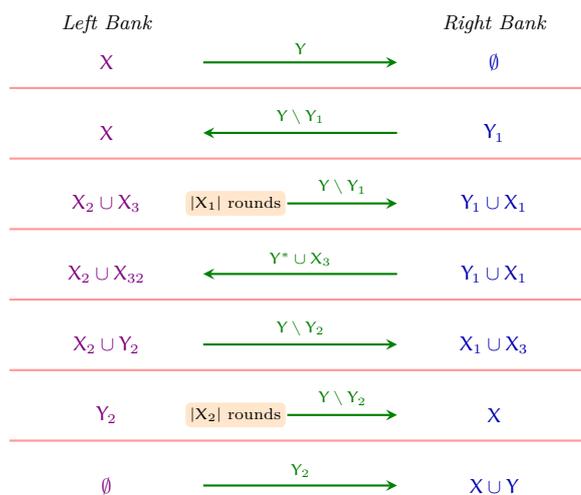
214 ► **Theorem 13** (Structure Theorem for Hereditary Properties). *Let $P \in \mathcal{P}_H$ be a hereditary
 215 property. A graph $G = (V, E)$ can be transferred across the river with a boat of size $b \geq$
 216 1, maintaining property P on both banks throughout, if and only if there exist subsets
 217 $X_1, X_2, X_3, Y_1, Y_2 \subseteq V$ such that:*

- 218 1. X_1, X_2, X_3 partition a set $X \subseteq V$ where $G[X]$ satisfies P ;
- 219 2. Y_1 and Y_2 are non-empty subsets of $Y := V \setminus X$ with $|Y| \leq b$;
- 220 3. $G[X_1 \cup Y_1]$ and $G[X_2 \cup Y_2]$ both satisfy P ; and
- 221 4. $|Y_1| + |Y_2| \geq |X_3|$.

222 **Proof.** We prove both directions separately.

223 (\Rightarrow) **Sufficiency.** Suppose subsets X_1, X_2, X_3, Y_1, Y_2 satisfying conditions (1)–(4) exist. We
 224 construct a feasible schedule, illustrated in Figure 2. We use the notation $L | B | R$ to
 225 denote a snapshot where L , B , and R are the vertices on the left bank, boat, and right bank,
 226 respectively.

227 **1. Initial transport of Y :** Load Y onto the boat and deposit Y_1 on the right bank, then
 228 return. This yields $X | Y \setminus Y_1 | Y_1$. This is valid since $|Y| \leq b$, and both X and Y_1 induce
 229 subgraphs satisfying P (by conditions (1) and (3), using heredity).



■ **Figure 2** Schematic of the transfer schedule in the sufficiency proof of Theorem 13. Here $Y^* = (Y \setminus Y_1 \setminus Y_2)$. Each horizontal line represents a river crossing; arrows indicate the direction of travel, labeled with the boat's contents. The exchange phase (rows 3–4) swaps Y_1 and Y_2 between the banks while transferring X_3 .

- 230 **2. Transfer X_1 :** Since $Y_1 \neq \emptyset$, there is at least one free seat. Transfer elements of X_1 one
 231 by one from left to right, reaching $X_2 \cup X_3 \mid Y \setminus Y_1 \mid Y_1 \cup X_1$. Throughout, the left bank
 232 contains subsets of X and the right bank contains subsets of $X_1 \cup Y_1$, both satisfying P by
 233 heredity.
- 234 **3. Exchange via X_3 :** Partition X_3 into X_{31} and X_{32} with $|X_{31}| \leq |Y_1|$ and $|X_{32}| \leq |Y_2|$
 235 (possible by condition (4)). Execute four crossings:

236 $X_2 \cup X_{32} \mid (Y \setminus Y_1) \cup X_{31} \mid X_1 \cup Y_1,$
 237 $X_2 \cup X_{32} \mid Y \mid X_1 \cup X_{31},$
 238 $X_2 \cup Y_2 \mid (Y \setminus Y_2) \cup X_{32} \mid X_1 \cup X_{31},$
 239 $X_2 \cup Y_2 \mid Y \setminus Y_2 \mid X_1 \cup X_3.$

240 At each step, both banks contain subsets of sets satisfying P , and the boat carries at most
 241 b vertices.

- 242 **4. Transfer X_2 :** Since $Y_2 \neq \emptyset$, transfer elements of X_2 one by one, reaching $Y_2 \mid Y \setminus Y_2 \mid X$.
 243 **5. Final transport:** Load Y_2 and deposit everyone on the right bank.

244 (\Leftarrow) **Necessity.** Given a feasible schedule $(L_k, B_k, R_k)_{1 \leq k \leq t}$, we construct the required
 245 subsets. We may assume $B_{k-1} \neq B_k$ for all k , since consecutive identical boat contents
 246 indicate redundant trips.

247 Let Z be a smallest subset such that $G[V \setminus Z]$ satisfies P . By Observation 11, $|Z| \leq b$. Let
 248 Y be any superset of Z with $|Y| = b$, and set $X = V \setminus Y$. Then $G[X]$ satisfies P . We consider
 249 three cases.

250 *Case 1: There exists k with $L_k \cap Y \neq \emptyset$ and $R_k \cap Y \neq \emptyset$.*

251 Set $Y_1 = L_k \cap Y$, $Y_2 = R_k \cap Y$, $X_1 = L_k \cap X$, $X_2 = R_k \cap X$, and $X_3 = B_k \cap X$. Then X_1, X_2, X_3
 252 partition X , satisfying (1). Both Y_1 and Y_2 are non-empty subsets of Y , satisfying (2). Since
 253 $L_k = X_1 \cup Y_1$ and $R_k = X_2 \cup Y_2$ must satisfy P (as they are bank contents), condition (3)

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254 holds. For (4):

$$255 \quad |B_k \cap X| + |B_k \cap Y| \leq b \implies |X_3| + (|Y| - |Y_1| - |Y_2|) \leq b = |Y|,$$

256 giving $|X_3| \leq |Y_1| + |Y_2|$.

257 *Case 2: There exists $1 < k < t$ with $B_k = Y$.*

258 Suppose k is even (the odd case is symmetric). Since $B_{k-1} \neq B_k = Y$, some vertices of Y
259 were on the right bank during trip $k-1$; set $Y_1 = R_{k-1} \cap Y \neq \emptyset$. Similarly, $B_{k+1} \neq Y$ implies
260 $Y_2 = L_{k+1} \cap Y \neq \emptyset$.

261 Every vertex of X lies in exactly one of L_k or R_k . Define $X_1 = R_{k-1} \cap X$, $X_2 = L_{k+1} \cap X$,
262 $X_{31} = B_{k-1} \cap X$, $X_{32} = B_{k+1} \cap X$, and $X_3 = X_{31} \cup X_{32}$. Conditions (1)–(3) follow from the
263 feasibility of the schedule. Since the sailor dropped X_{31} and picked up Y_1 (filling the boat),
264 we have $|X_{31}| \leq |Y_1|$; similarly $|X_{32}| \leq |Y_2|$, yielding (4).

265 *Case 3: Neither Case 1 nor Case 2 holds.*

266 Here, for all k , either $L_k \cap Y = \emptyset$ or $R_k \cap Y = \emptyset$, and $B_k \neq Y$ for $1 < k < t$. Since $L_1 \cap Y \neq \emptyset$
267 (initially all vertices are on the left) and $R_t \cap Y \neq \emptyset$ (finally all are on the right), there must
268 be some transition point. A careful analysis shows this contradicts the assumption that
269 neither Case 1 nor Case 2 applies. ◀

270 **4** Connected Ferry Cover: Boat-1 Graphs

271 We now turn to the main focus of this paper: the connected-bank variant of Ferry Cover.
272 Here, the property of interest, connectedness, is not hereditary, and therefore Theorem 13
273 does not apply. We restrict attention to connected graphs G , since disconnected graphs
274 trivially fail the connectivity requirement.

275 ▶ **Definition 14** (Boat- k Graph). *A connected graph G is boat- k if k is the minimum boat
276 size sufficient to transfer all vertices of G across the river while maintaining connectivity on
277 both banks throughout the process.*

278 Our goal in this section is to completely characterize boat-1 graphs. We begin with two
279 simple but useful observations.

280 ▶ **Observation 15.** *If $G = (V, E)$ is boat- b , then any graph $H = (V, E')$ with $E \subseteq E'$ is
281 boat- b' for some $b' \leq b$.*

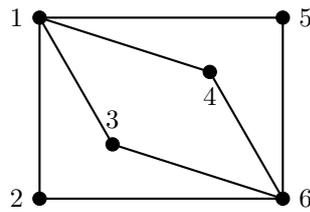
282 **Proof.** Since H contains all edges of G , any subset of vertices inducing a connected subgraph
283 in G also induces a connected subgraph in H . Thus, any valid transfer schedule for G remains
284 valid for H . ◀

285 If the graph has a Hamiltonian path, then we can remove consecutive vertices along the
286 path. This leads to the following observation.

287 ▶ **Observation 16.** *If a graph G has a Hamiltonian path, then G is boat-1.*

288 This observation prompts the question of whether Hamiltonian graphs are the only boat-1
289 graphs. It turns out that the answer is NO!

290 ▶ **Example 17** (A Boat-1 Graph without a Hamiltonian Path). Consider the graph in Fig-
291 ure 3. This graph can be transferred with a boat of size 1 by following the vertex ordering
292 1, 2, 3, 4, 5, 6.



■ **Figure 3** A boat-1 graph without a Hamiltonian path. The labeling $1, 2, \dots, 6$ gives a valid transfer order.

293 The graph in Figure 3 is *biconnected* - removing any single vertex leaves it connected.
 294 This observation leads to a key structural insight.

295 Biconnected Graphs and st-Numberings

296 Recall that a graph is biconnected if the removal of any single vertex does not disconnect it.
 297 The crucial tool for understanding boat-1 graphs is the notion of an *st-numbering*, introduced
 298 by Lempel, Even, and Cederbaum [4].

299 ► **Definition 18** (st-Numbering). Let $G = (V, E)$ be a graph with $|V| = n$. An st-numbering
 300 of G is a bijection $\nu : V \rightarrow \{1, 2, \dots, n\}$ such that for every vertex v with $1 < \nu(v) < n$, there
 301 exist neighbors u and w of v with $\nu(u) < \nu(v) < \nu(w)$.

302 In other words, every vertex except the first and last has both a lower-numbered and
 303 a higher-numbered neighbor. The following classical result establishes the existence of
 304 st-numberings for biconnected graphs.

305 ► **Theorem 19** (Lempel–Even–Cederbaum [4]). Let $G = (V, E)$ be a biconnected graph and let
 306 $\{s, t\} \in E$ be any edge. Then G admits an st-numbering in which s receives number 1 and t
 307 receives number $|V|$.

308 The connection to our problem is immediate: an st-numbering provides a transfer order
 309 that maintains connectivity.

310 ► **Lemma 20.** If $G = (V, E)$ admits an st-numbering ν , then for all $i \in \{1, \dots, |V|\}$:

- 311 1. the subgraph induced by $\{v : \nu(v) \leq i\}$ is connected; and
- 312 2. the subgraph induced by $\{v : \nu(v) \geq i\}$ is connected.

313 **Proof.** We prove (1) by induction on i . For $i = 1$, the subgraph contains a single vertex and
 314 is trivially connected. Assume the subgraph induced by vertices numbered 1 through $i - 1$ is
 315 connected. The vertex v with $\nu(v) = i$ has a neighbor u with $\nu(u) < i$ (unless $i = |V|$, in
 316 which case v is the last vertex and has a neighbor with smaller number by the st-numbering
 317 property). Thus, v is adjacent to the connected subgraph on vertices 1 through $i - 1$, so the
 318 subgraph on 1 through i is connected.

319 The proof of (2) is symmetric, proceeding by downward induction from $|V|$. ◀

320 ► **Lemma 21.** If a graph admits an st-numbering, then it is boat-1.

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321 **Proof.** Let ν be an st-numbering of G . Transfer vertices in the order $\nu^{-1}(1), \nu^{-1}(2), \dots, \nu^{-1}(n)$.
 322 After transferring the vertex numbered i , the left bank contains vertices numbered $i + 1$
 323 through n , and the right bank contains vertices numbered 1 through i . By Lemma 20, both
 324 subgraphs are connected. ◀

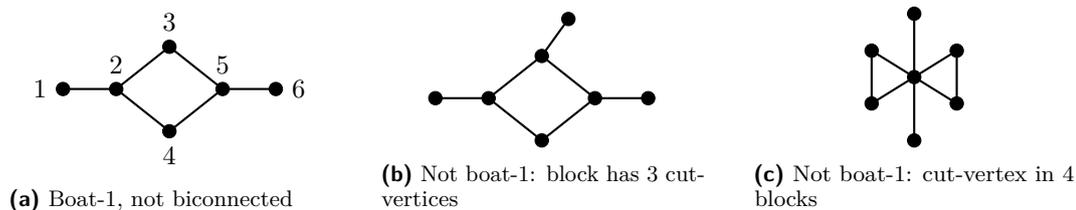
325 Combining Theorem 19 and Lemma 21 yields:

326 ▶ **Corollary 22.** *Every biconnected graph is boat-1.*

327 Beyond Biconnected Graphs

328 Corollary 22 characterizes a large class of boat-1 graphs, but it is not complete. There exist
 329 boat-1 graphs that are neither biconnected nor Hamiltonian.

330 ▶ **Example 23 (A Non-Biconnected Boat-1 Graph).** The graph in Figure 4a(a) is not bicon-
 331 nected (vertex 2 is a cut-vertex) and has no Hamiltonian path, yet it is boat-1: transfer
 332 vertices in the order 1, 2, 3, 4, 5, 6.



■ **Figure 4** (a) A boat-1 graph that is neither biconnected nor Hamiltonian. (b) A graph where a block contains three cut-vertices. (c) A graph where a cut-vertex belongs to four blocks.

333 The key to understanding which non-biconnected graphs are boat-1 lies in their *block-cut*
 334 *tree* structure. Recall that the blocks of a graph are its maximal biconnected subgraphs
 335 (including bridges, viewed as biconnected graphs on two vertices).

336 ▶ **Definition 24 (Block-Cut Tree).** *The block-cut tree of a connected graph G is the bipartite*
 337 *graph T whose vertices are the blocks and cut-vertices of G , with an edge between a block B*
 338 *and a cut-vertex c if and only if $c \in B$.*

339 The block-cut tree is indeed a tree (hence the name) and captures how the blocks of G
 340 are connected through cut-vertices.

341 Obstructions to being Boat-1

342 We now identify structural conditions that prevent a graph from being boat-1.

343 ▶ **Lemma 25.** *A connected graph G is not boat-1 if either:*

- 344 1. *some block of G contains three or more cut-vertices of G ; or*
- 345 2. *some cut-vertex of G belongs to three or more blocks of G .*

346 **Proof.** We prove each case by showing that any transfer schedule requires a boat of size at
 347 least 2.

348 *Case 1: A block B contains cut-vertices c_1, c_2, c_3 (and possibly more).*

349 Denote the set of cut-vertices of G by C . Consider any transfer schedule and let c be a
 350 cut-vertex in B that is neither the first nor the last among $\{c_1, c_2, c_3\}$ to be transferred. Such
 351 a vertex exists since $|\{c_1, c_2, c_3\}| \geq 3$. At the moment c is transferred, some cut-vertices from
 352 B are on the left bank and some are on the right bank.

353 Since c is a cut-vertex, removal of c divides G into 2 components, say G_1 and G_2 . The
 354 block B is contained either in G_1 or in G_2 but not both, because B is a block. So, without
 355 loss of generality, assume that B is contained in G_1 . This implies C is a subset of vertices
 356 of G_1 and therefore, during the transfer of u , some vertices of G_1 are on the left bank and
 357 some on the right bank. Consider the following cases depending upon the time when u is
 358 transferred:

- 359 1. u is transferred before transferring G_2 : Just after the transfer of u , G_2 and a part of
 360 G_1 are on the left bank and the two cannot be connected in the absence of u because it
 361 contradicts u being the cut-vertex.
- 362 2. u is transferred after transferring G_2 partially or fully: just before the transfer of u , a
 363 part of G_1 and G_2 are on the right bank and the two cannot be connected in the absence
 364 of u because it contradicts u being the cut-vertex.

365 This contradicts the existence of a feasible schedule with a boat of size 1. This completes the
 366 proof of (1).

367 *Case 2: A cut-vertex v belongs to blocks B_1, B_2, B_3 (and possibly more).*

368 In any transfer schedule, consider when v is transferred. By Observation 6, the blocks
 369 B_1, B_2, B_3 are pairwise vertex-disjoint except at v . Thus, at the moment v is transferred:

- 370 ■ If v is transferred before completely transferring at least two blocks, then after v leaves
 371 the left bank, at least two blocks (minus v) remain, and they are disconnected.
- 372 ■ If v is transferred after parts of at least two blocks have reached the right bank, then
 373 before v arrives, those partial blocks are disconnected on the right bank.

374 In either case, connectivity fails without transferring additional vertices alongside v . ◀

375 ▶ **Theorem 26.** *If the block-cut tree of a connected graph G is not a path, then G is not*
 376 *boat-1.*

377 **Proof.** The block-cut tree T is bipartite with blocks on one side and cut-vertices on the
 378 other. If T is not a path, then some vertex of T has degree at least 3. If this vertex is a block,
 379 then that block contains at least three cut-vertices; if it is a cut-vertex, then that cut-vertex
 380 belongs to at least three blocks. By Lemma 25, G is not boat-1. ◀

381 The Complete Characterization

382 We now prove that the obstruction identified in Theorem 26 is the only obstruction.

383 ▶ **Theorem 27** (Characterization of Boat-1 Graphs). *A connected graph G is boat-1 if and*
 384 *only if its block-cut tree is a path.*

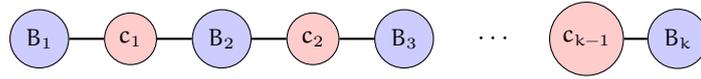
385 **Proof.** The “only if” direction is Theorem 26. We prove the “if” direction by showing that
 386 G admits an st-numbering, from which boat-1 follows by Lemma 21. Let T be the block-cut
 387 tree of G . If G is biconnected, then T consists of a single block, which is trivially a path, and
 388 G is boat-1 by Corollary 22. Assume G has at least two blocks. We first establish:

389 ▷ **Claim 28.** The endpoints of T are blocks, not cut-vertices.

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390 **Proof of Claim.** Suppose an endpoint of T is a cut-vertex c . Then c has degree 1 in T ,
 391 meaning c belongs to exactly one block B . But then removing c from G cannot disconnect G
 392 (since all of $G \setminus \{c\}$ remains within the components attached to B), contradicting that c is a
 393 cut-vertex. ◀

394 Thus T has the structure shown in Figure 5: blocks B_1, B_2, \dots, B_k connected by cut-
 395 vertices c_1, c_2, \dots, c_{k-1} , where c_i is the unique vertex shared by B_i and B_{i+1} .



■ **Figure 5** The block-cut tree of a boat-1 graph is a path alternating between blocks (blue) and cut-vertices (red).

396 We construct an st-numbering of G by combining st-numberings of the individual blocks.

397 ▷ **Claim 29.** G admits an st-numbering.

398 **Proof of Claim.** For each block B_i , we construct an st-numbering with specified endpoints:

- 399 ■ For B_1 : Choose any neighbor u of c_1 in B_1 . Since B_1 is biconnected, by Theorem 19
 400 there exists an st-numbering of B_1 with u numbered 1 and c_1 numbered $|B_1|$.
- 401 ■ For B_i with $1 < i < k$: We need c_{i-1} numbered first and c_i numbered last. If $\{c_{i-1}, c_i\} \in$
 402 $E(B_i)$, apply Theorem 19 directly. Otherwise, temporarily add the edge $\{c_{i-1}, c_i\}$ to B_i ,
 403 obtain an st-numbering, then remove the edge. The st-numbering property is preserved
 404 since we only required c_{i-1} and c_i to be endpoints.
- 405 ■ For B_k : Choose any neighbor v of c_{k-1} in B_k . Obtain an st-numbering with c_{k-1}
 406 numbered 1 and v numbered $|B_k|$.

407 Now combine these numberings. Let $n_i = |B_i|$ for each i . For vertices in B_1 , keep their
 408 numbers. For vertices in B_i with $i > 1$, add $(n_1 - 1) + (n_2 - 1) + \dots + (n_{i-1} - 1) = \sum_{j=1}^{i-1} (n_j - 1)$
 409 to each number. This offset accounts for the fact that each cut-vertex c_j is numbered last in
 410 B_j and first in B_{j+1} , receiving number $\sum_{j'=1}^j n_{j'} - (j - 1) = \sum_{j'=1}^j n_{j'} - j + 1$ in the combined
 411 numbering.

412 The resulting numbering is an st-numbering of G : every vertex except the first and
 413 last has a smaller-numbered and larger-numbered neighbor (within its block, and the block
 414 numberings are compatible at cut-vertices). ◀

415 By Lemma 21, G is boat-1. ◀

416 ► **Corollary 30.** *There is a linear-time algorithm to determine whether a connected graph is*
 417 *boat-1.*

418 5 Connected Ferry Cover on Trees

419 In this section, we determine the minimum boat size required to transfer any tree while
 420 maintaining connectivity on both banks. The key observation is that trees have a natural
 421 “peeling” structure: we can transfer vertices one at a time, starting from a leaf and working
 422 inward. However, when removing a vertex would disconnect the remaining graph, we must
 423 transfer the orphaned components along with it.

424 ► **Definition 31** (Demand and Cost). Let $S = (L_k, B_k, R_k)$ be a schedule for a graph G . The
 425 demand, denoted by $D_G(S)$ is defined as $\max_k |B_k|$. The cost, denoted by $C_G(S)$, is defined
 426 as $\sum_k |B_k|$.

427 We will use $D(S)$ (resp. $C(S)$) instead of $D_G(S)$ (resp. $C_G(S)$) whenever G is clear from
 428 the context. Demand is nothing but the minimum boat size required to execute the given
 429 schedule and cost is the total amount paid to the sailor if each person pays 1 unit of currency
 430 every time (s)he crosses the river.

431 If each person crosses the river only once in a schedule S of G , the cost $C(S)$ is the same
 432 as $|V(G)|$. Note that this is minimal possible cost as each person has to cross the river at
 433 least once.

434 ► **Definition 32** (Adjacent). Two mutually disjoint induced connected subgraphs C_1 and C_2
 435 of a graph G are said to be adjacent if the graph induced by $V(C_1) \cup V(C_2)$ is connected.

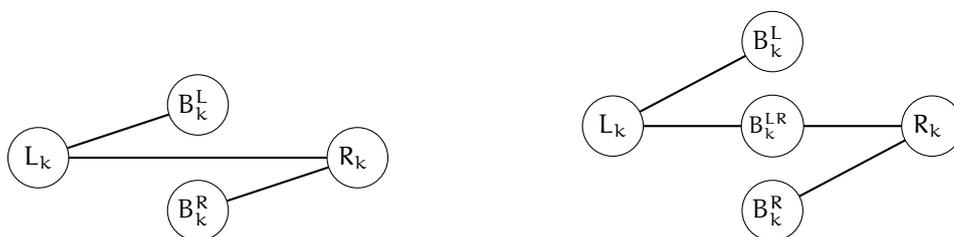
436 Equivalently, C_1 and C_2 are adjacent if there exists an edge of G between a vertex of C_1 and
 437 a vertex of C_2 . By abuse of language, we sometimes say C_1 is adjacent to C_2 and vice-versa.
 438 By convention, we declare that the empty graph is adjacent to any connected graph, and
 439 vice versa.

440 Let $S = (L_k, B_k, R_k)_{1 \leq k \leq t}$ be a schedule of a tree $T = (V, E)$. For any index k , each CC
 441 of $T[B_k]$ is adjacent to $T[L_k]$, or to $T[R_k]$, or to both. When no confusion arises, we use the
 442 same symbol to denote a vertex subset and the subgraph induced by it.

443 Let B_k^L denote the union of vertices of all CCs of B_k that are adjacent to L_k , and let B_k^R
 444 denote the union of vertices of all CCs of B_k that are adjacent to R_k . Since T is acyclic, there
 445 can be at most one CC of B_k that is adjacent to both L_k and R_k ; denote this component by
 446 B_k^{LR} . Moreover, if $B_k^{LR} = \emptyset$, then L_k and R_k are adjacent, as T is connected. This can be
 447 visualized in Figure 6.

448 ► **Definition 33** (Uniformly adjacent). Given a schedule $S = (L_k, B_k, R_k)$ of a graph, the
 449 connected components of B_k are said to be uniformly adjacent: if either all are adjacent to
 450 L_k , or all are adjacent to R_k , or all are adjacent to both L_k and R_k .

451 Given a schedule, if the CCs of B_k are not uniformly adjacent, by abuse of language, we say
 452 that the k^{th} trip violates the uniform adjacency property. For brevity, we may also say that
 453 B_k is not uniformly adjacent, instead of explicitly referring to its connected components.



(a) When no component of B_k is adjacent to both banks.

(b) When B_k contains a component adjacent to both banks.

■ **Figure 6** The tree T expressed in terms of the partition (L_k, B_k, R_k) , together with the adjacencies between these parts; edges indicate adjacency in T .

454 The following lemma says that any schedule for a tree can be modified such that the
 455 contents of the boat are uniformly adjacent. The proof of the lemma is a case wise analysis

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456 of the first time the boat is not uniformly adjacent. We see that some vertices carried in that
 457 trip are brought back in a future trip, meaning that part of the movement was unnecessary.
 458 So, we modify the trips to avoid carrying extra vertices in such a way as to not increase
 459 the demand or cost of the schedule. By repeating this process whenever a bad trip appears,
 460 we eventually obtain a schedule where the contents of the boat of every trip are uniformly
 461 adjacent. We omit the proof here due to page constraints and direct the interested reader to
 462 the full version of the paper for a complete proof.

463 ► **Lemma 34.** *Given a schedule $S = (L_k, B_k, R_k)_{1 \leq k \leq t}$ of a tree T , there exists another*
 464 *schedule $\hat{S} = (\hat{L}_i, \hat{B}_i, \hat{R}_i)_{1 \leq i \leq \hat{t}}$ of T with demand and cost at most $D(S)$ and $C(S)$, respectively,*
 465 *such that for each i , the connected components of \hat{B}_i are uniformly adjacent.*

466 In Lemma 34, we showed that for any schedule S , there exists a schedule \hat{S} with cost and
 467 demand no greater than those of S , such that in every trip of \hat{S} , the boat set is uniformly
 468 adjacent. The next lemma strengthens this result. It shows that, starting from \hat{S} , we can
 469 construct another schedule \bar{S} , again without increasing the cost or demand, such that in each
 470 trip of \bar{S} , the boat contains at most one connected component that is adjacent to both banks.
 471 Moreover, the boat set of every even-indexed trip in \bar{S} is empty.

472 Before moving to the next lemma, we define another property of the boat set, called
 473 *bi-adjacency*.

474 ► **Definition 35 (Bi-adjacent).** *Given a schedule $S = (L_k, B_k, R_k)$, the boat set B_k is said to*
 475 *be bi-adjacent (or to satisfy bi-adjacency) if B_k is adjacent to both L_k and R_k .*

476 By abuse of terminology, we say that the k^{th} trip of S satisfies bi-adjacency if B_k is bi-adjacent.

477 ► **Lemma 36.** *Given a schedule $S = (L_k, B_k, R_k)_{1 \leq k \leq t}$ of a tree T , there exists another*
 478 *schedule $\bar{S} = (\bar{L}_i, \bar{B}_i, \bar{R}_i)_{1 \leq i \leq \bar{t}}$ of T with cost and demand at most $C(S)$ and $D(S)$, respectively,*
 479 *such that for each i , \bar{B}_i is bi-adjacent.*

480 **Proof.** Using Lemma 34, we get \hat{S} of length \hat{t} from the given schedule S . The cost and
 481 demand of \hat{S} is not more than those of S , moreover, the boat set in each trip of \hat{S} is uniformly
 482 adjacent.

483 Let ℓ be the first trip of \hat{S} whose boat set (\hat{B}_ℓ) is not bi-adjacent. The trips of \hat{S} after
 484 index ℓ may also violate bi-adjacency. Hence, the total number of trips of \hat{S} that violate this
 485 property is at most $\hat{t} - \ell + 1$.

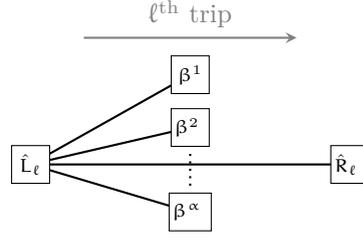
486 We construct a new schedule \bar{S} with the following properties:

- 487 1. \bar{S} is a legal schedule;
- 488 2. The cost and demand of \bar{S} is at most those of \hat{S} ; and
- 489 3. The number of trips of \bar{S} that violates the bi-adjacency is at most $\hat{t} - \ell$.

490 We then apply the same procedure to the new schedule. Since at each step the upper bound
 491 on the number of trips violating bi-adjacency strictly decreases, and the schedule has finite
 492 length, the process terminates after finitely many steps.

493 We now construct the new schedule. Let ℓ be the first trip of \hat{S} that violates bi-adjacency.
 494 The construction of \bar{S} is divided into multiple cases, depending on whether ℓ is even or odd
 495 and boat set is adjacent to the left bank or the right bank.

496 **Case 1: ℓ is odd and all CCs of \hat{B}_ℓ are not adjacent to \hat{R}_ℓ .** Let $\beta^1, \beta^2, \dots, \beta^\alpha$
 497 denote the connected components of \hat{B}_ℓ , where $\alpha \geq 1$. Note that α cannot take the value 0,
 498 as otherwise ℓ^{th} trip satisfy bi-adjacency. For pictorial representation of this case, refer to



■ **Figure 7** The ℓ^{th} trip of \hat{S} in Case 1.

499 Figure 7. We further subdivide this case depending on what is dropped from the boat at the
500 end of the ℓ^{th} trip.

501 **Subcase 1a:** $\hat{R}_{\ell+1} \cap \hat{R}_\ell \neq \emptyset$. In this case, since $\hat{R}_{\ell+1}$ has some elements of \hat{R}_ℓ , it cannot
502 have any element of \hat{B}_ℓ , otherwise $\hat{R}_{\ell+1}$ would be disconnected. This means $\hat{R}_{\ell+1} \subseteq \hat{R}_\ell$.
503 Define a new schedule \bar{S} as:

$$\begin{aligned} 504 \quad (\bar{L}_i, \bar{B}_i, \bar{R}_i) &= (\hat{L}_i, \hat{B}_i, \hat{R}_i) \quad \text{for all } i \leq \ell - 1 \text{ and } i \geq \ell + 2, \\ 505 \quad (\bar{L}_\ell, \bar{B}_\ell, \bar{R}_\ell) &= (\hat{L}_\ell \cup \hat{B}_\ell, \emptyset, \hat{R}_\ell), \\ 506 \quad (\bar{L}_{\ell+1}, \bar{B}_{\ell+1}, \bar{R}_{\ell+1}) &= (\bar{L}_\ell, \hat{R}_\ell \setminus \hat{R}_{\ell+1}, \hat{R}_{\ell+1}). \end{aligned}$$

507 The schedule \bar{S} is vacuously legal and

$$\begin{aligned} 508 \quad \bar{B}_i &\subseteq \hat{B}_i \quad \text{for all } i \leq \ell - 1 \text{ and } i \geq \ell + 2, & \bar{B}_\ell &\subseteq \hat{B}_\ell, \\ 509 \quad \bar{B}_{\ell+1} &\subseteq \hat{B}_\ell \cup (\hat{R}_\ell \setminus \hat{R}_{\ell+1}) \\ 510 \quad &= (\hat{B}_\ell \cup \hat{R}_\ell) \setminus \hat{R}_{\ell+1} && \text{(as } \hat{R}_{\ell+1} \subseteq \hat{R}_\ell) \\ 511 \quad &= \hat{B}_{\ell+1}. \end{aligned}$$

512 Hence, the cost and demand of \bar{S} is at most those of \hat{S} . Additionally, ℓ^{th} trip of \bar{S} satisfy
513 bi-adjacency and therefore, the number of trips of \bar{S} that violates bi-adjacency is at most
514 $\hat{t} - \ell$.

515 **Subcase 1b:** $\hat{R}_{\ell+1} \cap \hat{R}_\ell = \emptyset$. In this case, either $\hat{R}_{\ell+1} = \emptyset$ or $\hat{R}_{\ell+1} \cap \beta^i \neq \emptyset$ for exactly
516 one $i = 1, 2, \dots, \alpha$ as otherwise $\hat{R}_{\ell+1}$ would be disconnected. In the former case, $\hat{R}_{\ell+1} = \emptyset$,
517 define the new schedule as old schedule starting from $\ell + 2^{\text{th}}$ trip and it is easy to verify
518 that the new schedule is legal, less costly and demanding than \hat{S} and the number of trips in
519 new schedule is $\hat{t} - \ell$. In the later case, $\hat{R}_{\ell+1} \cap \beta^i \neq \emptyset$ for $i = m$ (say), everything that was
520 sent to the right bank before ℓ^{th} trip is brought back in $\ell + 1^{\text{th}}$ trip, so to reduce the cost
521 occurred in sending \hat{R}_ℓ is wasted. Hence, it would be better to start the schedule by sending
522 just β^m and then continue as per the further steps of the old schedule. So define the new
523 schedule \bar{S} as:

$$\begin{aligned} 524 \quad (\bar{L}_1, \bar{B}_1, \bar{R}_1) &= (V \setminus \beta^m, \beta^m, \emptyset), \\ 525 \quad (\bar{L}_2, \bar{B}_2, \bar{R}_2) &= (\bar{L}_1, \beta^m \setminus \hat{R}_{\ell+1}, \hat{R}_{\ell+1}), \\ 526 \quad (\bar{L}_i, \bar{B}_i, \bar{R}_i) &= (\hat{L}_{i+\ell-1}, \hat{B}_{i+\ell-1}, \hat{R}_{i+\ell-1}) \quad \text{for all } i \geq 3. \end{aligned}$$

527 The schedule \bar{S} is vacuously legal and the cost and demand of \bar{S} as at most those of \hat{S} as

$$\begin{aligned} 528 \quad \bar{B}_i &\subseteq \hat{B}_{i+\ell-1} \quad \text{for all } i \geq 3, & \bar{B}_1 &\subseteq \hat{B}_\ell, \\ 529 \quad \bar{B}_2 &\subseteq (\hat{B}_\ell \setminus \hat{R}_{\ell+1}) \cup \hat{R}_\ell = (\hat{B}_\ell \cup \hat{R}_\ell) \setminus \hat{R}_{\ell+1} = \hat{B}_{\ell+1}. && \text{(as } \hat{R}_{\ell+1} \subseteq \hat{B}_\ell) \\ 530 \end{aligned}$$

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531 The 1st trip of the \bar{S} satisfy bi-adjacency, hence the total number of trips of \bar{S} that violates
 532 bi-adjacency is at most $\hat{t} - \ell$.

533 **Case 2: ℓ is even and all CCs of B_ℓ are not adjacent to \hat{R}_ℓ .** Since ℓ^{th} trip is the
 534 first trip to violate bi-adjacency, $(\ell - 1)^{\text{th}}$ trip satisfy bi-adjacency. This implies $\hat{B}_{\ell-1} \cup \hat{R}_{\ell-1}$
 535 is connected as $\hat{R}_{\ell-1}$ is connected and $\hat{B}_{\ell-1}$ is adjacent to $\hat{R}_{\ell-1}$. Since, the schedule \hat{S} is legal,
 536 and ℓ is even, $\hat{B}_\ell \cup \hat{R}_\ell = \hat{B}_{\ell-1} \cup \hat{R}_{\ell-1}$. This implies, all CCs of \hat{B}_ℓ must be adjacent to \hat{R}_ℓ ,
 537 which contradicts the assumption.

538 The omit the details of the two cases one, when ℓ is even and all CCs of B_ℓ are not
 539 adjacent to \hat{L}_ℓ and other one, when ℓ is odd and all CCs of B_ℓ are not adjacent to \hat{L}_ℓ , as they
 540 are similar to Cases 1 and 2.

541 This completes the proof. ◀

542 ▶ **Remark 37.** Lemma 36 also implies that there is at most one connected component on the
 543 boat in each trip of \bar{S} .

544 In the next lemma, we show that given any optimal schedule, there exists another optimal
 545 schedule in which none of the vertices are ever transferred from the right bank to the left
 546 bank. To prove it, we use the following: In a schedule S of T , if all the vertices are transferred
 547 only once, $C(S) = |V(T)|$ and vice-versa. This means, if $C(S) > |V(T)|$, there is at least one
 548 trip from the right bank to the left bank, in which the boat is non-empty. We rectify the last
 549 such trip and reduce the cost by not increasing the demand. Doing this repetitively gives an
 550 optimal schedule with $C(S) = |V(T)|$.

551 ▶ **Lemma 38 (Empty Return Trips).** *For any tree T , there exists an optimal schedule in which*
 552 *no vertices are transferred from the right bank to the left bank. That is, all return trips B_{2i}*
 553 *are empty.*

554 **Proof.** Among the set of optimal schedules (the ones minimizes the boat size) of T , let
 555 $S = (L_k, B_k, R_k)_{1 \leq k \leq \hat{t}}$ be the one that minimizes the cost. Then by Lemma 36, there exists
 556 $\bar{S} = (\bar{S}_k, \bar{B}_k, \bar{R}_k)_{1 \leq k \leq \bar{t}}$ such that \bar{S} is optimal and $C(\bar{S}) \leq C(S)$. If the boat in all the return
 557 trips of \bar{S} is empty, i.e., $C(\bar{S}) = |V(T)|$, then we are done. Otherwise, assume i is the first
 558 return trip of \bar{S} in which the boat is non-empty, i.e., $\bar{B}_k = \emptyset$ for all even $k < i$ and $\bar{B}_i \neq \emptyset$.

559 By Lemma 36, \bar{B}_{i-1} is adjacent to both \bar{L}_{i-1} and \bar{R}_{i-1} and \bar{B}_i is adjacent to both \bar{L}_i and
 560 \bar{R}_i .

561 If $\bar{B}_{i-1} \cap \bar{B}_i = \emptyset$, all the vertices sent to right bank in $i-1^{\text{th}}$ trip stayed on the right bank
 562 and a subset of vertices from \bar{R}_{i-1} were taken on the boat in the next trip, i.e., $\bar{B}_i \subseteq \bar{R}_{i-1}$.
 563 Since \bar{R}_{i-1} is not adjacent to $\bar{L}_{i-1} = \bar{L}_i$ (as otherwise it contradicts T being a tree), \bar{B}_i
 564 cannot be adjacent to \bar{L}_i , which is a contradiction. Hence, $\bar{B}_{i-1} \cap \bar{B}_i \neq \emptyset$. Let $P = \bar{B}_{i-1} \cap \bar{B}_i$,
 565 $X = \bar{B}_{i-1} \setminus P$ and $Y = \bar{B}_i \setminus P$. Then,

- 566 1. $X \cap Y = \emptyset$;
- 567 2. $X \subseteq \bar{R}_i$ and $Y \subseteq \bar{R}_{i-1}$ as $X \cup \bar{R}_{i-1} = Y \cup \bar{R}_i$.

568 We know $\bar{B}_i = P \cup Y$ is adjacent to \bar{L}_i . If Y is adjacent to $\bar{L}_i = \bar{L}_{i-1}$, then that implies \bar{R}_{i-1}
 569 is adjacent to \bar{L}_{i-1} , which contradicts T being a tree. Hence P is adjacent to $\bar{L}_i = \bar{L}_{i-1}$. Now
 570 consider the following schedule $S' = (L'_k, B'_k, R'_k)_{1 \leq k \leq \bar{t}}$:

- 571 1. All the trips of S' up to $i-2$ are same as those of \bar{S} ,
- 572 2. $L'_{i-1} = \bar{L}_{i-1} \cup P$, $B'_{i-1} = X$, $R'_{i-1} = R_{i-1}$,
- 573 3. $L'_i = L'_{i-1}$, $B'_i = Y$, $R'_i = R_i$, and
- 574 4. All trips of S' from $i+1$ to \bar{t} is same as those of \bar{S} .

575 **Claim:** S' is legal and $C(S') < C(\bar{S})$ and $D(S') \leq D(S)$.

576 Proof: To show that S' is legal, we must show the following:

- 577 1. $L'_{i-1} = L'_i$ is connected,
- 578 2. $L'_{i-1} \cup B'_{i-1} = \bar{L}_{i-1} \cup \bar{B}_{i-1}$,
- 579 3. $B'_{i-1} \cup R'_{i-1} = B'_i \cup R'_i$, and
- 580 4. $L'_i \cup B'_i = \bar{L}_i \cup \bar{B}_i$.

581 Starting with item (1), since P is adjacent to \bar{L}_{i-1} , L'_{i-1} and L'_i are connected each.

582 For item (2), we know $L'_{i-1} \cup B'_{i-1} = \bar{L}_{i-1} \cup P \cup X = \bar{L}_{i-1} \cup \bar{B}_{i-1}$.

583 For item (3), we know $B'_{i-1} \cup R'_{i-1} = X \cup R_{i-1} = Y \cup R_i = B'_i \cup R'_i$.

584 For item (4), we know $L'_i \cup B'_i = \bar{L}_{i-1} \cup P \cup Y = \bar{L}_i \cup \bar{B}_i$.

585 The cost of S' is strictly less than $C(\bar{S})$ and $D(S') \leq D(S)$, vacuously. This completes
586 the proof of the claim.

587 This implies S' is an optimal schedule with lesser cost than S , which is a contradiction.
588 Hence, the assumption about existence of i such that \bar{B}_i is non-empty is not true. This
589 completes the proof. ◀

590 ▶ **Definition 39.** Let $S = (L_k, B_k, R_k)_{1 \leq k \leq t}$ be a schedule. The weight sequence of the
591 schedule, denoted by $\omega(S)$, is defined as the sequence $\omega(S) := (|B_j|)_{j=1}^{\infty}$ (with $|B_j| = 0$ for
592 $j > t$).

593 ▶ **Lemma 40.** There exists an optimal schedule $S = (L_k, B_k, R_k)_{1 \leq k \leq t}$ of a tree T that
594 satisfies the following.

- 595 1. B_i is connected and there exists a vertex $u_i \in B_i$ adjacent to both L_i, R_i for all $i \in [t]$.
- 596 2. There are no empty forward trips i.e., $B_i \neq \emptyset$ for all odd $i \in [t]$.
- 597 3. There are no non-empty backward trips i.e., $B_i = \emptyset$ for all even $i \in [t]$.

598 **Proof.** Let \mathcal{S} be the set of optimal schedules that have no non-empty backward trips and
599 no empty forward trips such that it satisfies bi-adjacency. By Lemmas 36 and 38, we know
600 that the set \mathcal{S} is non-empty. We define an ordering on the schedules of the set \mathcal{S} as follows:
601 Define $S_1 \leq S_2$ if $\omega(S_1) \leq_{\text{lex}} \omega(S_2)$ where \leq_{lex} is the lexicographic ordering of positive
602 integer sequences. We observe that the exclusion of non-empty backward trips and empty
603 forward trips forces the sequences $\omega(S)$ for $S \in \mathcal{S}$ to be in $\mathbb{N}_{\geq 0}^{2n}$ where $n = |V(T)|$. Since the
604 lexicographic ordering on $\mathbb{N}_{\geq 0}^{2n}$ is a well-ordering, we can find a lexicographically minimal
605 $\omega(S_0)$ for some $S_0 \in \mathcal{S}$.

606 We claim that $S_0 = (L_k, B_k, R_k)_{1 \leq k \leq 2n}$ is the required schedule. By contradiction, assume
607 that S_0 does not satisfy item (1) or S_0 is not the required schedule, then there exists a
608 smallest i such that B_i has u_i adjacent to R_i and $v_i (\neq u_i)$ adjacent to L_i . Let P be unique
609 path on the tree T from u_i to v_i . Let C_1, \dots, C_r be the connected components formed when
610 u_i is deleted from B_i and adjust notation to let $v_i \in C_1$. We could break the forward trip B_i
611 into two smaller forward trips $B'_i := u_i \cup_{j=2}^r C_j$ and $B''_i := C_1$ while keeping the other trips
612 the same. It is a legal schedule as v_i and hence C_1 is adjacent to L_i and C_2, \dots, C_r are all
613 adjacent to R_i in presence of u_i . This new schedule lies in \mathcal{S} because its demand is no more
614 than $D(S_0)$. Moreover, its weight sequence is lexicographically smaller than S_0 , which is a
615 contradiction. This proves our claim. ◀

616 ▶ **Remark 41.** Note that the optimal schedule with the lexicographically minimal weight
617 sequence has to start its trip by ferrying a leaf vertex.

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618 The following lemma follows directly from the definition of demand of a schedule.

► **Lemma 42.** *Given a schedule $S = (L_k, B_k, R_k)_{1 \leq k \leq t}$ of a tree T such that $B_i = \emptyset$ for even $i \in [t]$, then*

$$D(S) = \max\{D(S(L_i)), |B_i|, D(S(R_i))\},$$

619 where $S(R_i) = (L_k \cap R_{i-1}, B_k, R_k)_{1 \leq k < i}$ and $S(L_i) = (L_k, B_k, R_k \cap L_i)_{i < k \leq t}$ and all $i \in [t]$.

620 We describe a tree transfer algorithm that works greedily.

621 **Greedy Tree Transfer Algorithm.** Given a tree T on n vertices and a designated starting
622 leaf ℓ :

- 623 1. **Initialize:** Transfer the leaf ℓ to the right bank. Set $b \leftarrow 1$.
- 624 2. **Repeat** until all vertices have been transferred:
 - 625 a. Let v be the unique vertex on the left bank that has a neighbor already on the right
626 bank.
 - 627 b. Let c_1, c_2, \dots, c_k be the connected components of the left bank after removing v ,
628 ordered so that $|c_1| \leq |c_2| \leq \dots \leq |c_k|$.
 - 629 c. If $k \leq 1$: Transfer v alone (boat size 1 suffices for this trip).
 - 630 d. If $k \geq 2$: Transfer v together with all vertices in c_1, c_2, \dots, c_{k-1} . Update $b \leftarrow$
631 $\max(b, 1 + \sum_{i=1}^{k-1} |c_i|)$.
- 632 3. **Return** b as the boat size required for this choice of starting leaf ℓ .

633 ► **Theorem 43 (Optimal Tree Transfer).** *Let T be a tree. For each leaf ℓ of T , let b_ℓ denote
634 the boat size computed by the Tree Transfer Algorithm starting from ℓ . Then $\min_\ell b_\ell$ is the
635 minimum boat size required to transfer T while maintaining connectivity on both banks, and
636 the corresponding schedule is optimal.*

Proof. We prove that the optimal schedule S_0 that satisfies the conditions of Lemma 40 has more demand than the greedy tree transfer algorithm. Let

$$S_0 = (L_k, B_k, R_k)_{1 \leq k \leq t}$$

637 where $t \leq 2n$ as before and let S_g be the schedule that is obtained by applying the greedy
638 algorithm starting from the leaf in B_1 (see Remark 41). Let $i \in [2n]$ such that B_i was not
639 chosen greedily. Let u_i be the vertex on B_i that is adjacent to both banks. Let us look at
640 L_{i-1} . Since there are no backward trips, it contains the vertex u_i . Let C_1, \dots, C_r be the
641 components obtained when u_i is deleted from L_{i-1} . Let C_1 be the component with largest
642 number of vertices and so, S_g would take $u_i \cup_{j=2}^r C_j$ instead of $u_i \cup_{j=1}^{r-1} C_j$ (WLOG, we let
643 C_r be the one not taken by S_0). We will show that $D(S_0) \geq D(S_g)$ using Lemma 42.

To this end, we have to show that

$$\max\{D(S_0(L_i)), |u_i \cup_{j=1}^{r-1} C_j|, D(S_0(R_i))\} \geq \max\{D(S_g(L_i)), |u_i \cup_{j=2}^r C_j|, D(S_g(R_i))\}.$$

644 We know that $S_0(R_i) = S_g(R_i)$ and therefore, $D(S_0(R_i)) = D(S_g(R_i))$ as they do not differ
645 before trip i . Furthermore, we have that $D(S_0(L_i)) \leq |C_r| \leq |C_1|$. Similarly, $D(S_g(L_i)) \leq |C_1|$
646 and finally, $|C_1| \leq |u_i \cup_{j=1}^{r-1} C_j|$. Therefore, the LHS of the inequality is either $D(S_0(R_i))$ or
647 $|u_i \cup_{j=1}^{r-1} C_j|$. If the LHS were equal to $D(S_0(R_i)) = D(S_g(R_i))$, then the inequality follows as
648 $D(S_0(R_i)) \geq |u_i \cup_{j=1}^{r-1} C_j|$ and so, the RHS is $D(S_g(R_i))$. Similarly, if the LHS is $|u_i \cup_{j=1}^{r-1} C_j|$,
649 then inequality holds as this term is larger than every term on the RHS.

650 Therefore, we have shown that if we apply the greedy algorithm starting from the same
 651 vertex as the schedule S_0 , we get a smaller demand than that of S_0 . Since S_0 is optimal,
 652 it follows that the greedy algorithm is also optimal when starting from the appropriate
 653 vertex. If we were to apply the greedy algorithm from all possible leaves of the tree and find
 654 the minimum, we would obtain an optimal schedule to ferry the tree under the given
 655 constraints. ◀

666 6 Concluding Remarks

667 We have initiated the study of Ferry Cover problems under connectivity constraints, departing
 668 from the classical requirement that banks remain independent (stable) sets. Our main
 669 contributions are:

- 660 **1. Hereditary properties.** We showed that the structural characterization of Csorba,
 661 Hurkens, and Woeginger [1] extends to any hereditary graph property P : the boat size
 662 lies in $\{|Y|, |Y| + 1\}$ where Y is a minimum P -deletion set.
- 663 **2. Boat-1 characterization.** We completely characterized boat-1 graphs for the connected-
 664 bank variant: a connected graph is boat-1 if and only if its block-cut tree is a path. This
 665 yields a linear-time recognition algorithm.
- 666 **3. Trees.** We established that optimal schedules for trees need not have non-empty return
 667 trips, leading to an efficient algorithm for computing the minimum boat size.

668 We believe the connected-bank variant of Ferry Cover opens a rich landscape of combin-
 669 atorial and algorithmic questions at the intersection of classical river-crossing puzzles and
 670 algorithmic and structural graph theory.

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